

**Decision Maker:** CHILDREN, EDUCATION AND FAMILIES POLICY  
DEVELOPMENT AND SCRUTINY COMMITTEE

**Date:** TUESDAY 9 JULY 2019

**Decision Type:** Non-Urgent Non-Executive Non-Key

**Title:** EDUCATION, CARE AND HEALTH SERVICES RISK REGISTER  
– QUARTER 4, 2018/19

**Contact Officer:** Naheed Chaudhry, Assistant Director Strategy, Performance and Engagement

**Chief Officer:** Janet Bailey, Interim Director of Children Social Care

**Ward:** N/A

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1. Reason for report

- 1.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council's ability to deliver its priorities and objectives. This report enables the Members to scrutinise risks and the actions taken to control them in line with Audit Sub-Committee recommendations.
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2. **RECOMMENDATION(S)**

2.1 Members are asked to note:

- An updated Education, Care and Health Services' Risk Register and the existing controls in place to mitigate the risks.

## Impact on Vulnerable Adults and Children

1. Summary of Impact:
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## Corporate Policy

1. Policy Status: Not Applicable
  2. BBB Priority: Excellent Council Safe Bromley Supporting Independence Healthy Bromley
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## Financial

1. Cost of proposal: Not Applicable:
  2. Ongoing costs: Not Applicable:
  3. Budget head/performance centre:
  4. Total current budget for this head: £
  5. Source of funding:
- 

## Personnel

1. Number of staff (current and additional):
  2. If from existing staff resources, number of staff hours:
- 

## Legal

1. Legal Requirement: Statutory Requirement Non-Statutory - Government Guidance None:  
Further Details
  2. Call-in: Not Applicable
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## Procurement

1. Summary of Procurement Implications:
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## Customer Impact

1. Estimated number of users/beneficiaries (current and projected):
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## Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments:

### 3. COMMENTARY

#### Background

- 3.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council’s ability to deliver its priorities and objectives. The Education, Care and Health Services Risk Register feeds into the Corporate Risk Register, via the Corporate Risk Management Group, and comprises the high level departmental risks which are underpinned by more detailed registers contained within the divisional business plans.
- 3.2 Audit Sub-Committee agreed that the Corporate and Departmental Risk Registers would be reviewed at their meetings twice a year and then subsequently scrutinised by the relevant PDS Committee. Internal processes require that the departmental risk registers be updated and agreed by the Departmental Leadership Team (DLT) on a quarterly basis and be reviewed by the Corporate Risk Management Group. The ECHS Risk Register was last scrutinised by Children, Education and Families Budget and Performance Monitoring Committee in October 2018.
- 3.3 On 9 January 2019 the Departmental Leadership Team met with the Council’s insurers, Zurich Insurers for a ‘Check and Challenge’ review of the ECHS Risk Register. A full review of the Risk Register was carried out and a number of amendments were made at the time. Further changes were made during the regular quarterly updates. The ECHS Risk Register 2018/19 Quarter 4 updates were agreed by ECHS DLT in April 2019.
- 3.4 The Education, Care and Health Services Risk Register is attached as Appendix 1. The Portfolio Holder’s attention is drawn to those risks of relevance to the Children, Education and Families Portfolio as detailed in the table below.

Risk Reference	Risk
1	Failure to deliver ECHS Financial Strategy
3	Failure to deliver effective Children’s Services
4a	Recruitment and Retention - Children’s Social Care
4c	Recruitment and Retention – Housing
5	Failure to deliver effective Housing Needs services
5a	Temporary Accommodation
5b	Capital Grant
6	Inability to deliver an effective Public Health service
7	Business Interruption / Emergency Planning
8	Contracts and Service Level Agreements
9	School Place Planning
10	Not in Education, Employment or Training (NEET)
11	Transport – School and Adult Social Care
12	SEND Reforms
14	Youth Offending
15	Out of Borough Placements
18	Welfare Reform
20	Data Collections
21	30 hours funded childcare for three and four year olds of working parents
22	Failure to deliver partial implementation of Health & Social Care Integration
23	Speech and Language Therapy
24	Social Care Information System

- 3.5 The following changes have been made to the risks of relevance to the Children, Education and Families Portfolio since October 2018:
- Three risks have been removed from the Risk Register:
    - Risk 13 – School Standards
    - Risk 16 – Foster Carers
    - Risk 17 – Care Leavers’ accommodation
  - Two risks have been added to the Risk Register:
    - Risk 23 – Speech and Language Therapy
    - Risk 24 – Social Care Information System
  - Risk 4 – Recruitment and Retention has been divided into risks for Risk 4a - Children’s Social Care, Risk 4b - Adult Social Care and Risk 4c - Housing Needs
  - Amendment to the scope of one risk which now covers adult service users:
    - Risk 11 – Transport – School and Adult Social Care
  - Amendments to the gross and net (current) risk of XXX risks have been made:
    - Risk 3 – Failure to deliver effective Children’s Services – change of gross risk from 20 to 15
    - Risk 4c – Recruitment and Retention – Housing – change of net risk from 16 to 12
    - Risk 5 – Failure to deliver effective Housing Needs services – change of net risk from 12 to 8
    - Risk 5a – Temporary Accommodation – changes of net risk from 20 to 16
    - Risk 11 – Transport – School and Adult Social Care – change of gross risk from 9 to 15
    - Risk 18 - Welfare Reform – change of gross risk from 12 to 16
    - Risk 21 – 30 hours funded childcare for three and four year olds of working parents – change of gross risk from 6 to 3
- 3.6 Mitigating actions have reduced 9 high risks; 6 to significant risks and 3 to medium risks. 3 significant risks have reduced to medium risks and 1 medium risk has reduced to low risk.

Level of Risk	Gross Risk		Net Risk	
	No.	%	No.	%
<b>High</b>	12	55%	3	14%
<b>Significant</b>	4	18%	7	32%
<b>Medium</b>	4	18%	9	41%
<b>Low</b>	2	9%	3	14%
<b>Total</b>	22	100	22	100

#### 4. IMPACT ON VULNERABLE ADULTS AND CHILDREN

The controls already in place and the further actions outlined in the Risk Register mitigate the adverse impacts on vulnerable children.

#### 5. POLICY IMPLICATIONS

There are no policy implications arising directly from this report. Any policy implications arising from the existing controls and the further action required to mitigate against the risks are reported to the Sub-Committee separately.

**6. FINANCIAL IMPLICATIONS**

There are no financial implications arising directly from this report. Any financial implications arising from the existing controls and the further action required to mitigate against the risks are reported to the Sub-Committee separately.

**7. PERSONNEL IMPLICATIONS**

There are no personnel implications arising directly from this report. Any personal implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

**8. LEGAL IMPLICATIONS**

There are no legal implications arising directly from this report. Any legal implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

**9. PROCUREMENT IMPLICATIONS**

There are no procurement implications arising directly from this report. Any procurement implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

<b>Non-Applicable Sections:</b>	[List non-applicable sections here]
Background Documents: (Access via Contact Officer)	[Title of document and date]